



0001/PTO U.S. Department of Rev. 10/95 Patent and Trade		Appli	ication Number	09/728,37				
		Filing Date		Novembe				
TRANSMITTAL FORM		First Named Inventor		Ting K. Ye				
(to be used for all correspondence during pendency of filed application)		Group Art Unit Number		2633				
		Examiner Name		David S. Kim				
Total Number of Pages in This Submission 23		Attor	ney Docket Number	20852-051				
ENCL	OSURES	(ch	eck all that apply	()	······································			
Fee Transmittal Form (in duplicate)		Ì	Issue Fee Transr					
Check Enclosed			Letter to Chief Draftsperson					
Return Receipt Postcard			Formal Drawing(s	s):				
Response to Notice to File Missing Par Assignment & Recordation Cover Shee			[] Sheet(s) of Figure(s) [] Appeal Communication to Board of Appeals and Interferences					
Power of Attorney Application Data Sheet			Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)					
Information Disclosure Statement & PT	TO/SB/08A		Certified Copy of Priority Document(s)					
Copies of IDS Cited Referen	ces	!	After Allowance Communication to Group					
Request for Corrected Filing Receipt			H RECEIVED					
Request for Correction of Recorded Assignment			RECEIVED					
Amendment/Response A 16 Pages ar drawings	nd 4 sheets o	f			AUG 3 0 20	04		
After Final								
Status Request		- [1			Technology Cent	er 2000		
Revocation and Substitute Power of Attorney						•		
REMARKS:								
SIGNA	TURE OF	ATTO	DRNEY OR AGEN	NT .				
Signature: Dorion Cantrusto								
Attorney/Reg. No.: Dorian Cartwright, R	Reg. No. 53,8	53		Dated:	August 20, 2004			
CERTIFICATE OF MAILING								
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.								
Signature:	. Ca	XL						
Typed or Printed Name: Dorian Cartwri	ght			Dated:	August 20, 2004			
Express Mail Mailing Number (optional):			-					



で発達E TRANSMITTAL for FY 2004

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$) 210.00

·	Complete if Known	
Application Number	09/728,373	
Filing Date	November 28, 2000	
First Named Inventor	Ting K. Yee	$\vdash \Box$
Examiner Name	David S. Kim	
Art Unit	2633 AUG 3 0 20	N 4
Attorney Docket No.	20852-05169	

METHOD OF PAYMENT (check all that apply)			FEE CALCULATION (continued)						
Check Credit Card Deposit Account:	Money Order ☐ Other ☒ None	3. AE	DITION	AL FEE	s				
Deposit Account Number	19-2555	Large	Entity	Small	Entity	Fee Description	Fee Paid		
Deposit Account Name	Fenwick & West LLP	Fee Code	Fee (\$)	Fee Code	Fee (\$)				
	prized to: (check all that apply) pelow Credit any overpayments	1051 1052	130 50	2051	65 25	Surcharge - late filing fee or oath Surcharge - late provisional filing fee or cover sheet			
) or any underpayment of fee(s) due	1053	130	1053	130	Non-English specification			
application	, ,	1812	2,520	1812	2,520	For filing a request for ex parte reexamination			
Charge fee(s) indicated t	pelow, except for the filing fee	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action			
to the above-identified deposi	it account.	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action			
	LCULATION	1251	110	2251	55	Extension for reply within first month			
1. BASIC FILING FEE		1252	420	2252	210	Extension for reply within second month	210		
Large Entity Small Entity		1253	950	2253	475	Extension for reply within third month			
Fee Fee Fee	Fee Description Fee Paid	1254	1,480	2254	740	Extension for reply within fourth month			
Code (\$) Code (\$)		1255	2,010	2255	1,005	Extension for reply within fifth month			
1001 770 2001 385	Utility filing fee	1401	330	2401	165	Notice of Appeal			
1002 340 2002 170	Design filing fee	1402	330	2402	165	Filing a brief in support of an appeal			
1003 530 2003 265	Plant filing fee	1403	290	2403	145	Request for oral hearing	ļI		
1004 770 2004 385	Reissue filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding			
1005 160 2005 80	Provisional filing fee (\$)	1452	110	2452	55	Petition to revive - unavoidable			
SUBTOT	AL (1) (\$) 0	1453	1,330	2453	665	Petition to revive - unintentional			
2. EXTRA CLAIM FEES	FOR UTILITY AND REISSUE	1501	1,330	2501	665	Utility issue fee (or reissue)			
Extra	a Claims Fee from Fee Paid below	1502	480	2502	240	Design issue fee			
Total Claims 31 -31**= 0	x 9 = 0	1503	640	2503	320	Plant issue fee	· ·		
Independent Claims 2 -3** = 0	x 43 = 0	1460	130	1460	130	Petitions to the Commissioner			
Multiple Dependent	=	1807	50	1807	50	Processing fee under 37 CFR 1.17(g)			
Large Entity Small Entity		1806	180	1806	180	Submission of Information Disclosure Stmt			
Fee Fee Fee Code (\$)	Fee Description	8021	40	8021	40	Recording each patent assignment per property (times number of properties)			
1202 18 2202 9	Claims in excess of 20	1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))			
1201 86 2201 43	Independent claims in excess of 3	1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))			
1203 290 2203 145	Multiple dependent claim, if not paid	1801	770	2801	385	Request for Continued Examination (RCE)			
1204 86 2204 43	**Reissue independent claims over original patent	1802	900	1802	900	Request for expedited examination of a design application			
1205 18 2205 9	**Reissue claims in excess of 20 and over original patent	Other	fee (specif	ý)					
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SUBTOT	() () ()					SUBTOTAL (3) (\$) 210.0	00		
or number previously paid, if g	reater; For Reissues, see above	•Reduc	ed by Basi	c Filing F	ee Paid				

SUBMITTED BY					Complete (if applicable)
Name (Print/Type)	Dorian Cartwright	Registration No. (Attorney/Agent)	53,853		Telephone (650) 335-7247
Signature Signature	Doria Cart	tack		Date	August 20, 2004



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PLICANT:

Ting K. Yee and Peter H. Chang

SERIAL NO.:

09/728,373

FILING DATE:

November 28, 2000

TITLE:

OPTICAL COMMUNICATIONS USING HETERODYNE DETECTION

EXAMINER:

Jason Chan

GROUP ART UNIT:

2633

ATTY. DKT. NO.:

20852-05169

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Technology Center 2600

CERTIFICATE OF MAILING

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Dated: August 20, 2004

Dorian Cartwright, Reg. No.: 53,853

MAIL STOP AMENDMENT COMMISSIONER FOR PATENTS P.O. BOX 1450 **ALEXANDRIA, VA 22313-1450**

 ${f A}$ MENDMENT ${f A}$

SIR:

This amendment for the patent application identified above is in response to the Office Action dated March 22, 2004 (paper no. 11), which set a shortened statutory period for response that expired on June 22, 2004. A two-month extension fee is included herewith.

Kindly amend this application as indicated herein.

08/25/2004 LWONDIM1 00000010 09728373"

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